### Developing Effective Interventions for Infants and Toddlers with Autism



Sally J. Rogers, Ph.D. ACE Multisite Treatment Network Interagency Autism Coordinating Committee April 11, 2011

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# Three studies of infant toddler interventions

 ACE Multisite study of one year olds
 ARRA Infant Treatment Study of 6-12 month olds

New Distance Technology pilot study for families of 18-36 month olds

### Biological model of ASD



### Biological model of ASD



### Transactional model of ASD



# Early Steps Study: ACE multisite network study for toddlers with ASD



Funded by NIMH NICHD MH R01 081757-03

### Research aims



- To conduct a multi-site intent-to-treat RCT of ESDM compared to standard community treatment for one year olds with ASD
- To evaluate efficacy of the intervention for cognitive, language, and social development and autism symptoms
- To evaluate family characteristics, stressors and responses to ESDM
- **#** To examine social, dev, and biological influences on outcomes

### ESS TEAM AT UC DAVIS MIND INSTITUTE

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### Core elements

- Curriculum and teaching approach
- Developmental framework
- **#** Relationship-based
- Focus on early autism profile: social attention, imitation, jt attn, language, play
- Embedding behavioral teaching practices in joint play activities
- fully manualized, data based, RTI
- Multidisciplinary, interdisciplinary



Promoting Language, Learning, & Engagement

Sally J. Rogers and Geraldine Dawson

## PEDIATRICS

Volume 125, Number 1, January 2010

Randomized, Controlled Trial of an Intervention for Toddlers With Autism: The Early Start Denver Model

Geraldine Dawson, Sally Rogers, Jeffrey Munson, Milani Smith, Jamie Winter, Jessica Greenson, Amy Donaldson and Jennifer Varley

- Funded by NIH STAART Centers program; Dawson, PI, in collaboration w/ Sally Rogers
- 48 Children < 2.5 years of age when intervention began; Randomized study – ESDM vs. community intervention
- 2 year intervention 25 hr/week (20 by therapist, 5 by parent). Groups received similar levels of actual intervention hours/week (22 vs. 18)
- **I** Outcome measures conducted by naïve examiners

### Main effect of ESDM on IQ (Mullen)



## Pre-treatment IQ *does not* moderate the effect of ESDM on IQ gains



## Pre-treatment IQ *does not* moderate the effect of ESDM on language



### Pre-treatment severity of ASD *does* moderate IQ gain for ESDM



In the ESDM group, children with *less severe ASD symptoms* show more IQ gain over time

In the community group, symptom severity does not influence outcome

## But, children with more severe ASD symptoms respond to ESDM intervention!



# Multisite ACE RCT Trial: 2007-2012

> NIMH/NICHD ACE # R01MH081757, Autism Speaks

- 100 12-24 month olds with ASD stratified by CA, gender, DQ
- **#** Randomized to community or ESDM
- 3 months of parent training, 24 months of intensive Early Start Denver Model
- # 20 hours 1:1 per wk in home, 4 hr per month parent training



### Enrollment to date

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### Subjects (97) enrolled

	Comm	ESDM	
Gender	62.5	75.5	% male
Race	75.0	67.3	% white
Maternal Education	52.1	63.3	% College deg or higher
SCL-90 Primary caretaker	54.3	47.0	p=.005
Age /Pre-Treatment	21.0	21.0	months
Mullen DQ	63.1	64.9	
ADOS Total Score	21.9	20.1	

### First 12 weeks : Parent learning data





## First 12 weeks: Average amount of 1:1 and group treatment per week across 12 weeks



# Relationships between treatment hours and 3 month child change

score		p	score	议会会	p
MSEL	pos	.005	MSEL	pos	.0001
comp			comp		
ADOS	pos	.075	ADOS		ns
MCDI	neg	.06	CDI	pos	.0001
vocab			vocab	化组织	

#### Control group

Treatment group



Sig effect of time p=.004

### Parent responses to intervention

Parental sense of competence



P=.02, d=.51

# Can technology improve access to early intervention for families?

A Pilot Study PI: Laurie A. Vismara, Ph.D. Gregory S. Young, Ph.D. Sally J. Rogers, Ph.D.

Funded by UC Davis MIND Institute Research Award Program Telemedicine supports long-distance care

### **#** Integrates audio, video, and data system technologies

- Digital interactive highway
- Medicine: dermatology, psychiatry, pulmonary medicine, pediatric obesity, cardiology (Callahan et al., 1998; High et al., 2000; Pacht et al., 1998; Shaikh et al., 2008; Tsagaris et al., 1997)

#### **Benefits** (Ondersma et al., 2008)

- 24 hour accessibility
- Inexpensive equipment = affordability
- Flexibility learning styles, language
- Integrity central source allows for fidelity of implementation



### **Research** questions



- 1. Will parents engage in an internet ESDM intervention?
- 2. Will internet ESDM increase parent provision of learning opportunities for their children?
- 3. Will children show short-term benefit?
- 3. Would parents perceive internet ESDM to be easy and satisfying to use?

### Child information

ID	СА	MSRL	MSEL	VABS Comp (M=100)	ADOS AD=9 ASD=1	State
Child 1	34 mo	15 mo	20 mo	77	AD (15)	UT
Child 2	36 mo	24 mo	22 mo	65	ASD (10)	СА
Child 3	30 mo	9 mo	10 mo	65	AD (19)	NC
Child 4	26 mo	24 mo	23 mo	97	AD (23)	AR
Child 5	17 mo	14 mo	14 mo	80	AD (17)	TX
Child 6	24 mo	7 mo	5 mo	66	AD (25)	NV
Child 7	30 mo	10 mo	13 mo	61	AD (20)	Quebec
Child 8	16 mo	13 mo	8 mo	85	AD* (15)	TX
Child 9	30 mo	8 mo	9 mo	73	AD (17)	PA
Child 10	15 mo	9 mo	12 mo	60	AD (17) provisional	CA

### Parents: skill changes over 12 weeks



Error bars: +/- 1 SE

Significant increase over time

Interactive learning from a distance

• Skill acquisition similar in rate, quality of learning to center-based approach (Vismara et al., 2009)

# Children: spontaneous words increase in typical home routines



- Significant word increase over time
- Spontaneous, novel, pragmaticallyappropriate language



Direct observation

### Parent Responses

# 90% parents liked the collaborative approach



- # 90% of parents liked the use of videos, written materials, and internet materials
- # 90% of parents liked the internet approach;
  1 found it frustrating
- **#** Follow-up study using RCT is in progress**#** Videos!

# Can infant intervention prevent the full emergence of ASD?



NICHD/NIMH ARRA funding R21 HD065275; Rogers & Vismara, 2009-2011

#### $\ddagger$ 6-12 month olds

■ Symptomatic: elevated ASD scores and parent and expert clinician concerns

#### **Target symptoms:**

- Unusual repetitive behaviors
- Lack of phonemic development
- Lack of social interest and face to face engagement
- Unusual visual fixations, interest on objects
- Poor quality, infrequent dyadic engagement

■ Parent coaching model 12 weeks, 1hr

### **AOSI Scores: Child 1**



### Mullen Early Learning Composite T Scores: Child 1

Mullen Early Learning Composite (ELC) T Scores: Child 1



Pre post videos of first two CA children

Child 1 preChild 1 after 1 yr

Child 2 pre
Child 2 post

### **Discussion Points**

- ASD can be identified at age 1 in clinical referrals: 115 of 117 one year olds showed stable symptoms over 3 months
- Far more plasticity in early ASD than any of us would have expected: 90=% verbal, 80% IQ normal
- Effects of early intervention may be more intense, more economical, earlier
- Large numbers of preschoolers, families cannot access appropriate treatment: large disparities. Must create access
- Is early preventative treatment possible? Only an RCT can tell
- **#** Thank you to NIH and IACC for your support

